

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/05/2004 UEDUVIJE 00000004 502866 10627770
01 FC:1202 18.00 DA

Adjustment date: 10/26/2004 SDIRETA1
04/05/2004 UEDUVIJE 00000004 502866 10627770
01 FC:1202 18.00 CR

PTO-1556
(5/87)



BEST AVAILABLE COPY

PATENT AND TRADEMARK
DIVISION

Dep & Ref Rm307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Kimihiko NISHIOKA et al** 2004 SEP 17 AM 10:36 Group Art Unit: 2873

Serial No.: 10/627,770

Examiner: **David N. Spector**

Filed: **July 28, 2003**

Confirmation No.: 4301

For: **OPTICAL APPARATUS AND IMAGING APPARATUS
USING THE SAME**

Attorney Docket Number: 030896

Customer Number: 38834

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 10, 2004

Sir:

The undersigned respectfully requests a refund of \$18.00 for the amount incorrectly charged to in the subject application on April 2, 2004 to pay for additional claims.

A total amount of \$18.00 was charged to Deposit Account 50-2866 as included on our April 30, 2004 statement. The corresponding patent office code (No. 1202) indicates that this charge was for claims in excess of twenty (20). However, there were forty five (45) claims in excess of 20, at a charge \$18.00 each, for a total of \$810.00 and the proper amount was paid when the application was filed on July 28, 2003. A copy of the transmittal letter and the check are enclosed.

Applicant(s) request(s) that the Patent Office refund the amount of \$18.00 to Deposit Account 50-2866.

Respectfully submitted,

WESTERMAN, HARTFORD, DANIELS & ADRIAN, LLP

Stephen G. Adrian
Stephen G. Adrian

Registration No. 32,878

Telephone: (202) 822-1100

Facsimile: (202) 822-1111

SgA/ak

Enclosures: Copies of Deposit Account Monthly Statement of April 2003, Transmittal letter, and Check and its Check Stub (No. 6926)



UNITED STATES
PATENT AND
TRADEMARK OFFICE

BEST AVAILABLE COPY

COPY

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

To replenish your deposit account, detach and
return top portion with your check. Make check
payable to Director of Patents & Trademarks.

WESTERMAN, HATTORI, DANIELS & ADRIAN, LL FINA
ACCOUNTS PAYABLE
1250 CONNECTICUT AVE, NW
SUITE 700
WASHINGTON DC 20036

Account No.	502866
Date	4-30-04
Page	1

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70641
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
4	2	04	170	10814340		9204	-40.00	2994.00
4	2	04	257	1272431		8521	40.00	2954.00
4	2	04	258	1272432		8522	25.00	2929.00
4	2	04	259	1297389		8522	25.00	2904.00
4	2	04	260	5498580	042178	8021	40.00	2864.00
4	8	04	16	09926347	011117	2201	86.00	2760.00
4	8	04	141	10284131	021314	2251	55.00	2705.00
4	12	04	6	10097815	020294	1251	110.00	2595.00
4	13	04	524	76368539	042314	8521	40.00	2555.00
4	13	04	525	75983037	042314	8522	25.00	2530.00
4	14	04	69	5652561		8021	40.00	2490.00
4	15	04	49	10106323	020109	1501	261.00	2229.00
4	15	04	51	10106323	020109	8001	9.00	2220.00
4	21	04	1	09923963	981032A	1281	110.00	2110.00
4	22	04	1	10686728		1202	36.00	2074.00
4	22	04	90	09987901	011543	1801	770.00	1304.00
4	23	04	3	10686728		1202	-36.00	1340.00
4	23	04	6	10686728		9204	-36.00	1376.00
4	28	04	24	09219856		9204	-300.00	1676.00
4	28	04	57	10832313		9204	-578.00	2254.00
4	29	04	177	09787029		8021	40.00	2214.00
4	29	04	178	09926228		8021	40.00	2174.00
4	29	04	179	09926188		8021	40.00	2134.00
4	29	04	180	09926673		8021	40.00	2094.00
4	29	04	181	10048481		8021	40.00	2054.00
4	29	04	182	10110233		8021	40.00	2014.00
4	29	04	183	10130295		8021	40.00	1974.00
4	29	04	184	10149987		8021	40.00	1934.00
4	29	04	185	10220272		8021	40.00	1894.00
4	29	04	186	10221151		8021	40.00	1854.00
4	29	04	187	10296746		8021	40.00	1814.00
4	29	04	188	10296244		8021	40.00	1774.00
4	29	04	189	10344003		8021	40.00	1734.00
4	29	04	190	10363050		8021	40.00	1694.00
4	29	04	191	10450704		8021	40.00	1654.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
-----------------	---------------	---------------	-----------------

BEST AVAILABLE COPY

SEP 10 2004

APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Check Box, if applicable ☐ Duplicate

Attorney Docket No.

038896

Total Pages

First Named Inventor or Application Identifier

KENJI NISHIOKA, Kawase DAI and Masaaki DAIGAKU

Express Mail Label No.

APPLICATION ELEMENTS FOR:

OPTICAL APPARATUS AND IMAGE PROCESSING APPARATUS USING THE SAME

ADDRESS TO:

Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for processing)

2. ☒ Specification

Total Pages [45] (IN JAPANESE)

3. ☒ Drawing(s) (35 USC 113)

Total Sheets [23]

4. ☒ Oath or Declaration

Total Pages [5]

a. ☒ Newly executed (original)

☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Machine Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and documents) to: OLYMPUS OPTICAL CO., LTD., Tokyo, Japan
Please publish the assignee data with the application.

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

☒ Power of Attorney

COPY

COPY

Received at: 8:59AM, 9/10/2004

Received at: 8:59AM, 9/10/2004

FROM ARMSTRONG, KRATZ, QUINTOS, HANSEN & BROOKS - THIS 3 10 04 9:00/ET. 8:59/NO 4863196950 P



14684 115013

X

1997

[illegible]

0016

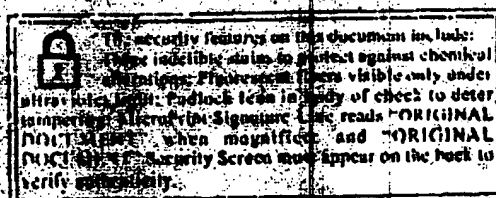
68

31 75

THE UNIVERSITY OF CHICAGO PRESS

01287639

COPY



BEST AVAILABLE COPY



CHECK

6926

Independent Claims in excess of Three (9)

756.00

Multiple Dependent Claim

280.00

Recording Patent Assignment

40.00

ARMSTRONG, WESTERMAN, HATTORI, LLP.

ROUTE TO: YAP

COPY